

# Atmospheric Processing of Particulate Imidazole Compounds Driven by Photochemistry

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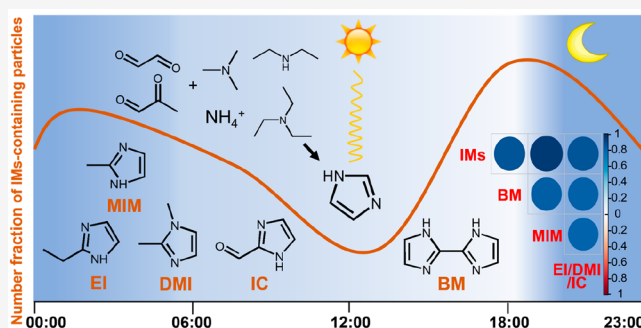
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Supporting Information

**ABSTRACT:** As a potential fraction of brown carbon, particulate imidazole compounds may initiate photosensitive reactions and have substantial radiative effects. However, our knowledge of the atmospheric processing of imidazole compounds is still in its nascent stage. On the basis of a single-particle aerosol mass spectrometer measurement, the mixing state of imidazole-containing particles and high-time-resolved variations of imidazole compounds were investigated in Qingdao, China, in November and December 2019. Five imidazole compounds (methylimidazole, ethylimidazole, dimethylimidazole, imidazole-2-carboxaldehyde, and 2,2'-biimidazole) were identified, overall accounting for ~10% of all of the detected particles. They are tightly correlated and internally mixed with enhanced carbonyls, amines, and ammonium, supporting their secondary formation from these precursors. The number fraction of imidazole-containing particles exhibited predominant diurnal variations, especially on sunny days. A sharp decrease in the number fraction from morning to noon is most likely attributed to photochemical degradation. This is also confirmed by the reverse correlation ( $r = -0.77$ ;  $p < 0.01$ ) with photochemical indicators (temperature and  $O_3$ ) and our laboratory experiment by exposure of imidazole compounds to sunlight. Multiple linear regression and random forest analysis further support the hypothesis, with precursors (i.e., carbonyls and amines/ammonium) and  $O_3$  being the most important factors (~70%) regulating the variations of imidazole compounds.

**KEYWORDS:** imidazole compounds, individual particles, carbonyls, SPAMS, brown carbon



## INTRODUCTION

As a substantial part of brown carbon, imidazole compounds (IMs) show strong light-absorbing properties and thus affect the atmospheric radiation balance.<sup>1,2</sup> Some fractions could act as photosensitizers, such as imidazole-2-carboxaldehyde (IC) and 2,2'-biimidazole (BM), and may be potential photosensitizers for other aerosol-phase reactions.<sup>3,4</sup> They also contribute to the formation of high-molecular-weight compounds by acting as reaction intermediates.<sup>5–8</sup> In addition, some of them are possibly carcinogenic to humans; e.g., 2-methylimidazole and 4-methylimidazole are categorized as group 2B carcinogens by the International Agency for Research on Cancer (IARC),<sup>9</sup> yet the potential health effects of IMs in atmospheric aerosols remain unknown.

Particulate IMs could be emitted from biomass burning and secondarily formed in the atmosphere.<sup>9–11</sup> A growing body of evidence from laboratory studies has indicated the formation of IMs from the aqueous-phase or heterogeneous reactions of carbonyl compounds and reduced nitrogen species (e.g., ammonium/amines).<sup>3–5,12–20</sup> These kinds of reactions produce various IMs, including methylimidazole (MIM) and dimethylimidazole (DMI), 1,3,4-trimethylimidazole, 4-methyl-

1,3-diglycine-imidazole, IC, BM, etc. The secondary formation of IMs could be affected by the abundance and types of precursors (i.e., carbonyls and reduced nitrogen species),<sup>21,22</sup> relative humidity (RH),<sup>23</sup> and pH.<sup>7</sup>

The sinks of atmospheric IMs are most likely associated with reactive oxidants and direct photochemistry. Theoretical calculations and laboratory reaction kinetic studies show that IMs can be rapidly oxidized and degraded by OH radicals, with an atmospheric lifetime over a wide range from 1 min to 1.8 days.<sup>24</sup> Some studies have also shown the oxidation of IMs by ozone ( $O_3$ ), but the reaction rate is 4 orders of magnitude lower than that by OH radicals.<sup>25,26</sup> In addition, direct photolysis may also contribute.<sup>27</sup>

While extensive laboratory studies have investigated the formation and evolution of various IMs and influencing factors,

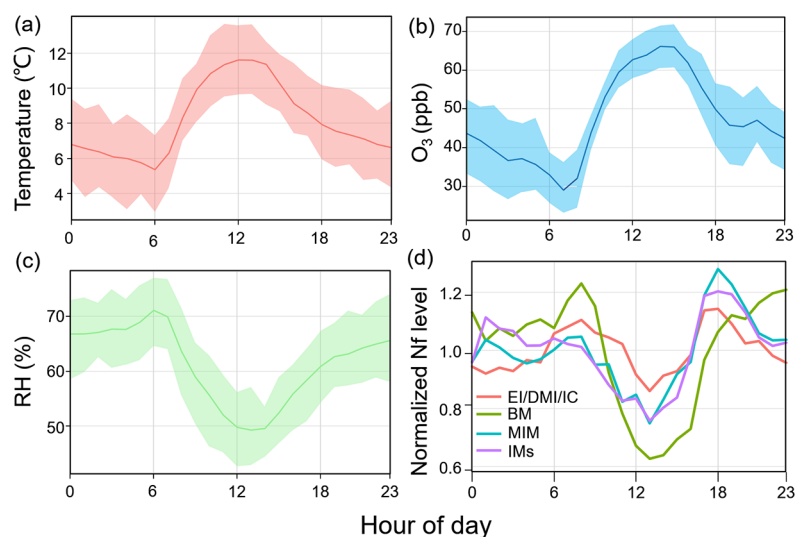
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**Figure 1.** Diurnal variations of (a) temperature, (b) O<sub>3</sub>, and (c) relative humidity (RH) during the measurements. The lines and the shaded areas represent the mean and 10–90th percentile values, respectively. (d) Normalized (divided by their mean values) diurnal variations of the Nf of the IMs-containing particles.

the atmospheric processing of these compounds is still in a nascent stage. A few studies have investigated particulate IMs (PM<sub>1</sub>, PM<sub>10</sub>, and TSP) in the atmosphere in China, Germany, Italy, and Japan, most of which focused on their concentrations. The reported concentrations were in the range of 0.01–20.99 ng m<sup>-3</sup>; the most abundant was 4(5)-methylimidazole, followed by 2-ethylimidazole (EI), DMI, and IC.<sup>1,2,9,11,28</sup> Teich et al.<sup>9</sup> observed higher concentrations of IMs at night than during the day and attributed these observations to the photochemical process and boundary layer height. He et al.<sup>28</sup> showed that RH and salting effect may have an influence on the formation of secondary IMs. Recently, using a single-particle aerosol mass spectrometer (SPAMS), we first provided direct evidence for the formation of IMs from carbonyls and ammonium/amines in the ambient atmosphere and showed the facilitated formation of IMs under cloud conditions.<sup>29</sup> However, most of the field observations employed offline analysis with poor temporal resolution, which may inhibit our in-depth understanding of the ever-changing nature of light-sensitive IMs.

In this study, the chemical compositions of individual IMs-containing particles were investigated by the SPAMS with a high time resolution over a month in a coastal city in northern China. We first identify different kinds of IMs and illustrate their state of mixing with various species. Then we focus on the diurnal variations of IMs and aim to illustrate the controlling factors for the atmospheric processing of IMs.

## MATERIALS AND METHODS

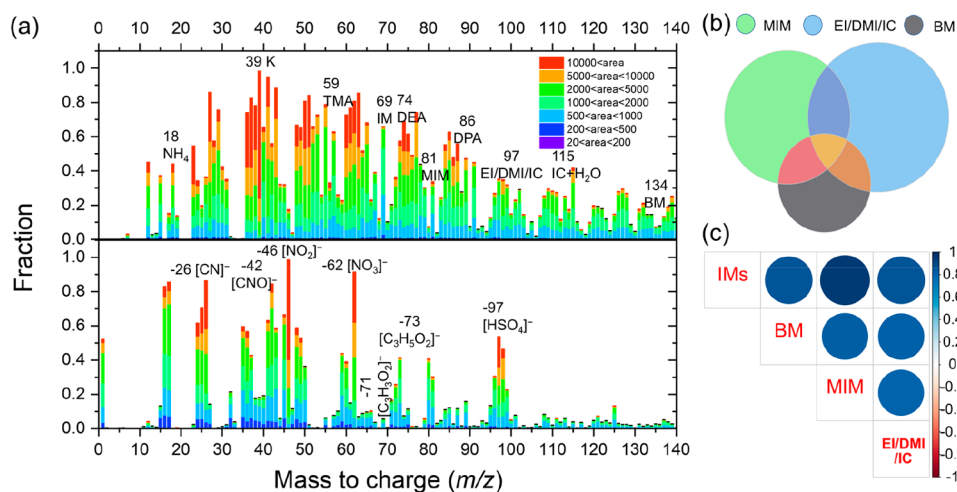
**Field Measurements.** Field measurements were taken at Qingdao Blue Valley Venture Center (36.35°N, 120.68°E), with the sampling inlet on the top of a four-floor building, from November 7 to December 5, 2019. The site is surrounded by villages, close to a highway, and 40 km from downtown Qingdao, representative of a typical suburban environment.<sup>30</sup> During the measurements, the diurnal variations of temperature, RH, and O<sub>3</sub> were distinct, as shown in Figure 1. Temperature and O<sub>3</sub> peaked at noon, whereas RH peaked at night. The temporal variations of meteorological parameters and concentrations of various pollutants (with the instruments

described in Text S1), including O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and black carbon (BC), are also included in Figure S1. The average temperature (mean ± standard deviation) is 8.0 ± 6.1 °C, and the average concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> are 40.1 ± 21.2 and 69.9 ± 38.9 μg m<sup>-3</sup>, respectively. The average concentrations of NO<sub>2</sub> and O<sub>3</sub> are 24.8 ± 15.2 and 47.3 ± 23.6 ppbv, respectively.

The size and chemical composition of individual aerosol particles were obtained by the SPAMS (Hexin Analytical Instrument Co., Ltd., Guangzhou, China) in real time. Briefly, the aerosol particles were introduced into the SPAMS by a PM<sub>2.5</sub> inlet followed by a silica gel dryer. The aerosol particles passed through an aerodynamic lens and two laser beams (Nd:YAG, 532 nm) successively, where their velocities could be determined. Then a pulsed laser (266 nm) downstream was triggered, on the basis of the velocities, to desorb and/or ionize the particles. The produced positive and negative molecular fragments were recorded.<sup>31</sup> The vacuum aerodynamic diameter of individual particles corresponded to the measured velocities, which were calibrated with standard polystyrene latex spheres (Duke Scientific Corp., Palo Alto, CA) with sizes ranging between 0.22 and 2.0 μm.

**Data Analysis.** The single-particle size and mass spectral analysis were performed using the FATES toolkit built in MATLAB (The MathWorks, Inc.).<sup>32</sup> Overall, 9 866 142 particles were obtained with both positive and negative spectral information. Most of the particles' aerodynamic diameters ranged from 200 to 700 nm and peaked at 450–550 nm (Figure S2). The hourly mean number fraction (Nf) and relative peak areas (RPA, which represents the percentage contribution of the targeted ion peak area to the sum of all ion peak areas) are applied to indicate the variations of various species in individual particles.

To identify the ion markers for IMs, various standard solutions (Sigma-Aldrich), including 4-methylimidazole (MIM), 2-ethylimidazole (EI), 2,4-dimethylimidazole (DMI), IC, and BM, were atomized and introduced into the SPAMS. Note that these IMs represent the most abundant fraction identified in the atmosphere.<sup>1,2,9</sup> Approximately 50 000



**Figure 2.** (a) Number fraction of ion peaks in all of the IMs-containing particles. The stacked color map indicates the range of the ion peak area. (b) Venn diagram of the number-based mixing state involving EI, DMI, and IC (green circle), MIM (blue circle), and BM (gray circle). (c) Correlation matrix of hourly detected particle numbers of IMs-containing particles. The colors indicate the correlation coefficient ( $r$ ).

particles with both positive and negative spectral data were obtained.

As substantially important precursors of IMs,<sup>29</sup> carbonyl-containing particles are distinguished by  $m/z$   $-71$   $[\text{C}_3\text{H}_5\text{O}_2]^-$  (methylglyoxal or acrylate) and  $m/z$   $-73$   $[\text{C}_3\text{H}_5\text{O}_2]^-$  (glyoxylate) that originated from oxidation of glyoxal,<sup>6</sup> ammonium by  $m/z$   $18$   $[\text{NH}_4]^+$ , and amines by  $m/z$   $59$   $[(\text{CH}_3)_3\text{N}]^+$  (TMA),  $m/z$   $74$   $[(\text{C}_2\text{H}_5)_2\text{NH}_2]^+$  (DEA), or  $m/z$   $86$   $[(\text{C}_2\text{H}_5)_2\text{NCH}_2]^+$  (DPA).<sup>33–35</sup> Other related species include formic acid at  $m/z$   $-45$   $[\text{HCO}_2]^-$  and organic nitrogen at  $m/z$   $-26$   $[\text{CN}]^-$  and  $m/z$   $-42$   $[\text{CNO}]^-$ .<sup>36</sup>

Multiple linear and random forest analyses are applied to estimate the relative contribution of several factors to the variations in IMs. In the multiple linear models, the least-squares fit is used, and two of the most common measures of the model fit are the residual standard error and the proportion of variance explained ( $R^2$ ).<sup>37,38</sup> As a nonlinear multiple regression, random forest first creates multiple decision trees, and each tree grows on the basis of the bootstrap resampling method. The relative importance of the predictors can be presented as the “mean decrease accuracy”. In addition, the U.S. Environmental Protection Agency’s Positive Matrix Factorization 5.0 (PMF)<sup>39</sup> is applied to analyze the possible sources of IMs, based on the hourly averaged RPAs of IMs, their precursors, and marker ions of potential sources, as detailed in Text S3.

## RESULTS AND DISCUSSION

### Identification of Various IMs and Their Mixing States.

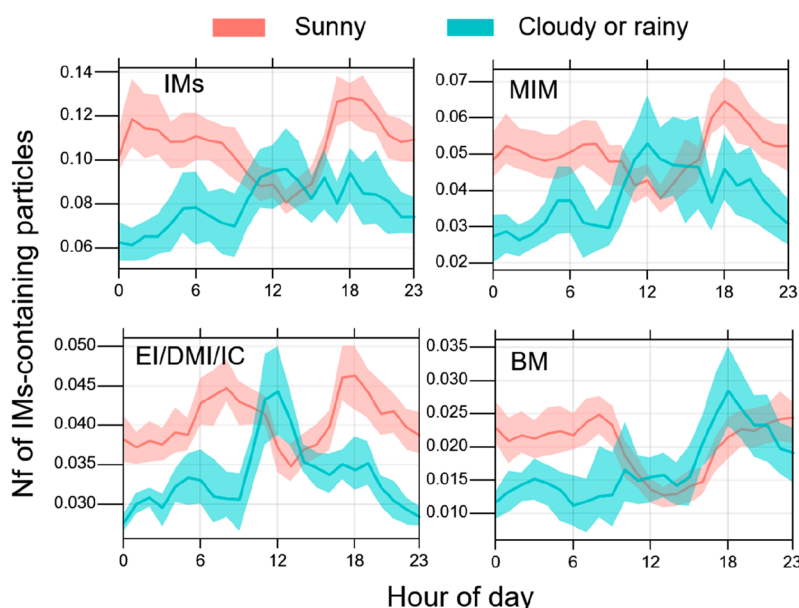
Laboratory tests show that all of the IMs exhibit distinct marker ions at  $m/z$   $68$   $[\text{C}_3\text{H}_4\text{N}_2]^+$ ,  $m/z$   $69$   $[\text{C}_3\text{H}_4\text{N}_2 + \text{H}]^+$ ,  $m/z$   $81$   $[\text{C}_4\text{H}_5\text{N}_2]^+$ ,  $m/z$   $134$   $[\text{C}_6\text{H}_6\text{N}_4]^+$ , and  $m/z$   $97$   $[\text{C}_5\text{H}_8\text{N}_2 + \text{H}]^+ / [\text{C}_4\text{H}_4\text{N}_2\text{O} + \text{H}]^+$ , as shown in Figure S3. Some of these markers, i.e.,  $m/z$   $69$  for IMs,  $m/z$   $97$  for IC, and  $m/z$   $135$  for BM, have also been similarly found in the laboratory by Hamilton et al.,<sup>6</sup> using an aerosol time-of-flight mass spectrometer. Our analysis further indicates the potential interference at  $m/z$   $68$ , the majority of which are most probably assigned to the Zn-containing particles, marked by Zn ( $m/z$   $64$ ,  $66$ , and  $68$ ) and ZnCl ( $m/z$   $99$ ,  $101$ , and  $103$ ) (Figure S4). To avoid interference by  $m/z$   $81$ , sea-salt particles

( $m/z$   $23$   $[\text{Na}]^+$ ,  $m/z$   $62$   $[\text{Na}_2\text{O}]^+$ , and  $m/z$   $81$   $[\text{Na}_2\text{Cl}]^+$ ) were also excluded. IMs-containing particles are then regarded as those containing  $m/z$   $69$ ,  $81$ ,  $134$ , and  $97$ .

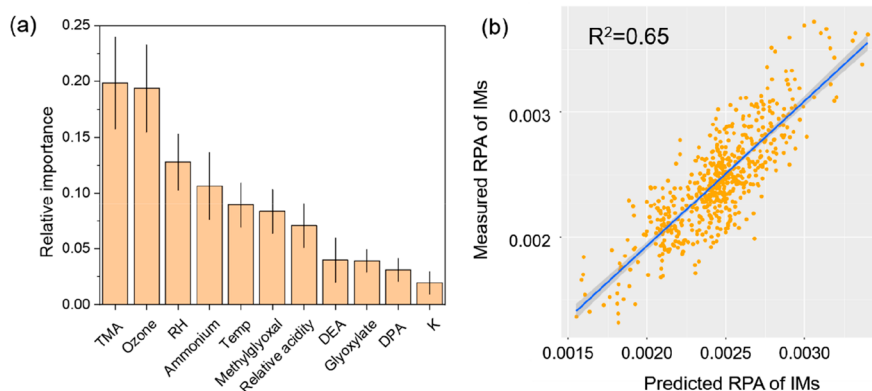
Overall,  $\sim 950\,000$  IMs-containing particles were identified, accounting for  $\sim 10\%$  of all of the measured particles. Specifically, MIM-containing particles ( $480\,861$ ), EI-, DMI-, and IC-containing particles ( $383\,244$ ), and BM-containing particles ( $208\,600$ ) account for  $\sim 5\%$ ,  $\sim 4\%$ , and  $\sim 2\%$ , respectively (Table S1). The number fraction is higher than those ( $1.4$ – $2.9\%$ ) reported for southern China,<sup>29</sup> which may be attributed to the higher abundance of precursors as discussed below.

Figure 2a illustrates the Nf of each ion peak detected in the IMs-containing particles. It is obvious that  $m/z$   $69$  represents the dominant ion peak ( $67\%$ ), followed by MIM ( $34\%$ ), EI, DMI, and IC ( $27\%$ ), and BM ion peaks ( $15\%$ ). Approximately  $20\%$  of the MIM-containing particles are mixed with EI, DMI, and IC, and  $30\%$  of the BM-containing particles are mixed with EI, DMI, and IC. These internally mixed Nfs ( $>20\%$ ) are obviously higher than those ( $<5\%$ ) for all of the detected particles. The ion fragments of IMs, including  $m/z$   $28$   $[\text{C}_2\text{H}_4]^+$ ,  $m/z$   $42$   $[\text{C}_2\text{H}_4\text{N}]^+$ , and  $m/z$   $54$   $[\text{C}_3\text{H}_4\text{N}]^+$ , and organic nitrogen markers (e.g.,  $m/z$   $-26$   $[\text{CN}]^-$  and  $m/z$   $-42$   $[\text{CNO}]^-$ ), are also enriched in the IMs-containing particles (Figure S5). The enhanced association ( $>40\%$  by number) of these ion peaks with the IMs-containing particles also suggests their similar origins. In addition, the hourly detected particle numbers of the identified IMs show high correlations [ $r > 0.86$ ;  $p < 0.01$  (Figure 2c)], and they are highly internally mixed with each other (Figure 2b). These results also indicate the appropriate assignment of IMs in this study. It should be noted that it is the most probable assignment of IMs because other types of interference from various organics cannot be eliminated through the SPAMS measurements.

One can also see from Figure 2 that IMs are extensively internally mixed with secondary products such as sulfate ( $59\%$ ), nitrate ( $98\%$ ), and precursors like carbonyls ( $40\%$ ) and amine ( $56\%$ ) or ammonium ( $54\%$ ). The detailed mixing states of IMs with their precursors are listed in Table S2. The proportions of MIM, BM, and EI, DMI, and IC in the carbonyl- and ammonium/amine-containing particles ( $2$ – $5\%$ )



**Figure 3.** Diurnal variations of the IMs-containing particles during the sunny and cloudy/rainy days. The line and the shaded areas represent the mean and 25–75% values, respectively. There were 10 cloudy or rainy days and 18 sunny days during the observation.



**Figure 4.** (a) Relative importance of factors for the variation of IMs determined by multilinear regression. The error bars provide 90% confidence intervals with 100 bootstrap replicates to evaluate the results. (b) Relationship between the measured and predicted RPA of IMs.

are obviously higher than those in all detected particles (3–17%). Furthermore, the hourly detected particle number of the IMs-containing particles is also highly correlated with those of their precursors [ $r > 0.5$ ;  $p < 0.01$  (Figure S6)]. A similar correlation between MIM and carbonyls such as glyoxal and methylglyoxal has also been reported.<sup>28</sup> These results support the formation pathway of IMs from carbonyls and ammonium/amine, as identified by extensive laboratory studies.<sup>5,19,40,41</sup>

**Diurnal Variation of IMs-Containing Particles.** The normalized (divided by their mean values) diurnal variations of the mean Nf of the IMs-containing particles are shown in Figure 1d. The diurnal variations of all of the IMs-containing particle types are distinct, decreasing from dawn to noon, with a valley at approximately 13:00 (local time). The peaks of different IMs are slightly different but generally the same at night. The peaks of MIM and EI, DMI, and IC appear around 18:00. The peak of BM appears around midnight, which may be due to the formation of BM from IC reacting with glyoxal and ammonium.<sup>8</sup> The RPAs of IMs and BM also show similar diurnal variation, which is similar to the result of a previous study reporting a higher concentration of IMs at night.<sup>9</sup> The

diurnal trend of the IMs-containing particles is similar to those of RH and  $\text{NO}_2$  and the reverse of that of temperature and  $\text{O}_3$ . The results may point to the significant influence of photochemical processes. While carbonyl-based VOCs like glyoxal and methylglyoxal can be directly emitted by vehicles<sup>42,43</sup> and thus contribute to the formation of imidazoles, it is currently believed that vehicle emission accounts for only a small part.<sup>44,45</sup>

First, via comparison of the diurnal variation of the IMs-containing particles on sunny and cloudy days, one can clearly see that the minimum value of IMs in the afternoon of cloudy days is significantly higher than that on sunny days (Figure 3), indicating that stronger sunlight has a critical impact on the diurnal variation of IMs. In contrast, the Nfs of the IMs-containing particles increase from 9:00 to 12:00 during the cloudy/rainy days and peak at 12:00. Second, the RPA of IMs shows a significant negative correlation with  $\text{O}_3$  ( $r = -0.77$ ;  $p < 0.01$ ), and the Nf of the IMs-containing particles also decreases with an increase in  $\text{O}_3$  (Figure S7). This is most probably due to degradation of IMs by photochemistry processes, most probably through the oxidation by  $\text{O}_3$  and

OH radicals.<sup>24,25</sup> Finally, to verify the photochemical reactions of IMs, we simulated the direct photolysis and photochemistry reactions of different IMs in the laboratory (as detailed in Text S2). All of the IMs show significant degradation under sunlight or simulated UV light (254 nm), and the reaction rate is 1 order of magnitude faster after the addition of OH radicals upon introduction of H<sub>2</sub>O<sub>2</sub> (Figure S8).

### Controlling Factors of IMs in Ambient Aerosol.

Multiple linear regression and random forest analysis are further applied to quantitatively estimate the relative contribution of the potentially influential factors, namely, temperature, RH, O<sub>3</sub>, relative acidity, RPA of potassium (K, *m/z* 39), and precursors such as ammonium, amines, and carbonyls. Potassium tracks the intensity of biomass burning, whereas temperature and O<sub>3</sub> track the strength of photochemistry.<sup>46</sup> RH may affect the gas-to-particle partitioning of both ammonia/amine- and carbonyl-based precursors,<sup>47,48</sup> and the relative number of deliquesced aqueous aerosols,<sup>49</sup> which changes both the internal mixing and chemistry of aerosols and the gas–aerosol partitioning of precursors, thus affecting the formation of IMs. The relative acidity of aerosol particles, herein defined as the peak area ratio (i.e., sulfate and nitrate divided by ammonium),<sup>50</sup> may affect the reaction rates and pathways for the formation of IMs.<sup>7</sup>

As shown in Figure 4, the variations of IMs can be explained well ( $R^2 = 0.65$ ;  $p < 0.01$ ) by these input factors, with the evaluation of the model performance provided in Figure S9. The estimated importance of each factor shows that imidazole chemistry is mainly dependent on precursors (ammonium, amines, and carbonyls; 50%), the strength of photochemistry (temperature and O<sub>3</sub>, 28%), and RH (13%). The limited contribution (<5%) from the K factor indicates a negligible influence of biomass burning. Similarly, random forest analysis can predict ~63% of the variations of IMs, and the three most important predictors are precursors, the strength of photochemistry, and RH. Consistently, the importance of various precursors can also be supported by the PMF results, which shows that amines, ammonium, and carbonyl compound factors together explain ~50% of the observed IMs (Text S3 and Figure S10). To further confirm the importance of photochemical reactions, we compare the relative importance of each factor for the sunny and cloudy/rainy days, on the basis of random forest analysis. The results show that O<sub>3</sub> (24%) is the most crucial meteorological factor for the sunny days, whereas it is the least important factor (8%) for the cloudy/rainy days (Figure S11). One can also see that RH likely plays a more important role than ozone on cloudy days, which is in contrast with that for sunny days. We may conclude that the variation of imidazole compounds is mainly affected by photochemistry on sunny days but by the precursors and relative humidity on cloudy days. It should be noted that our conclusions based on a relatively narrow time period may not be universal for other seasons with distinctly different meteorological conditions. However, the controlling factors for the atmospheric processing of IMs in ambient aerosol may still be indicative.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.estlett.2c00029>.

Additional experimental details and results, including 11 figures and three tables (PDF)

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## Notes

The authors declare no competing financial interest.

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